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S/N 09/853,565

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward S. Hoskins Examiner:  
Serial No.: 09/853,565 Group Art Unit: 2754  
Filed: May 10, 2001 Docket No.: STL9650/40046.114-US-UI  
Title: SEAMLESS DEFECT MANAGEMENT CONVERSION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719664385US  
Date of Deposit: September 4, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: Mary C. Notter  
Name: Mary C. Notter

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LETTER

Commissioner for Patents  
Washington, D.C. 20231

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Dear Sir:

Enclosed is a copy of a preliminary amendment filed on July 19, 2001 in response to Notice of Omitted Items mailed on July 10, 2001. During a review of our records, it appears that a copy of the Notice may inadvertently have been omitted from the Preliminary Amendment filed on July 19, 2001. Accordingly, attached hereto is the copy of the Notice along with a copy of the Preliminary Amendment.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: 9/4/01

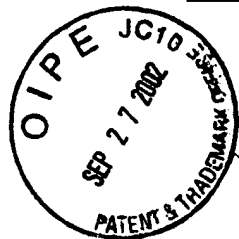
John R. Wahl  
John R. Wahl, Reg. No. 33,044  
Merchant & Gould P.C.  
PO Box 2903  
Minneapolis, MN 55402-0903

10-01-02  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward S. Hoskins  
Serial No.: 09/853,565  
Filed: May 10, 2001  
Confirmation No.: 6329  
Title: SEAMLESS DEFECT MANAGEMENT CONVERSION

Examiner: Matthew M. Kim  
Group Art Unit: 2754  
Docket: STL9650/40046.114USU1  
Notice of Allow. Date:

Receipt #6  
0-2482  
2652



CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV118157443US  
Date of Deposit: September 27, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to, Commissioner for Patents and Trademarks, Washington, D.C. 20231.

By: *Mary C. Notter*  
Name: Mary C. Notter

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Request for Corrected Filing Receipt
- ☒ Return postcard

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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303.357.1670

By: *John R. Wahl*  
Name: John R. Wahl  
Reg. No. 33,044  
JR Wahl/mcn



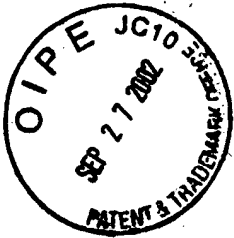
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S/N 09/853,565

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward S. Hoskins Examiner:  
Serial No.: 09/853,565 Group Art Unit: 2754  
Filed: May 10, 2001 Docket No.: STL9650/40046.114-US-U1  
Title: SEAMLESS DEFECT MANAGEMENT CONVERSION



CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV118157443US

Date of Deposit: September 27, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: *Mary C. Notter*  
Name: Mary C. Notter

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REQUEST FOR CORRECTED FILING RECEIPT

ATTN: Office of Initial Patent Examination

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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The UPDATED Official Filing Receipt mailed September 4, 2001 is incorrect. The correct filing date is the original filing date, May 10, 2001. Issuance of a corrected filing receipt is therefore requested.

It is respectfully submitted that the filing date was reset from May 10, 2001 to September 4, 2001 by the OIPE in error. Support for Applicant's position is as follows:

1. The present application was originally filed on May 10, 2001. See the Applicant's postcard receipt, Exhibit 1.
2. An Official Filing Receipt was mailed on July 10, 2001. This receipt was and is correct in all respects. See Exhibit 2.
3. A Notice of Omitted Items was mailed on July 10, 2001, indicating that Page 1 of the filed application was missing. See Exhibit 3.
4. The Notice of Omitted Items stated that the Applicant had a choice between options I, II, or III. If option III was chosen, the original filing date **would be retained** and a preliminary

Amendment was required to be submitted before the first Office Action to renumber the pages of the application.

5. Applicants submitted a Preliminary Amendment on July 19, 2001, by express mail in accordance with the requirements of Option III. See Applicant's postcard receipt, Exhibit 4.

6. Applicants submitted, on September 4, 2001, a letter transmitting a copy of the previously submitted Preliminary Amendment along with a copy of the Notice of Omitted Items which had been omitted from the Preliminary Amendment transmittal. See Exhibit 5.

7. An updated Filing Receipt was mailed on October 16, 2001 **erroneously** indicating the filing date as September 4, 2001. See Exhibit 6.

Since the Preliminary Amendment was mailed before the first Office Action, and renumbered the pages as required in the Notice, the correct filing date is May 10, 2001 and a corrected Filing Receipt is required and respectfully requested.

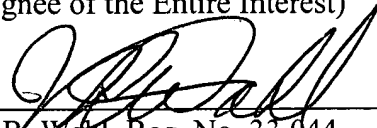
Dated: \_\_\_\_\_

9/27/02



Respectfully submitted,

SEAGATE TECHNOLOGY LLC  
(Assignee of the Entire Interest)

  
\_\_\_\_\_  
John R. Wahl, Reg. No. 33,044  
Merchant & Gould P.C.  
PO Box 2903  
Minneapolis, MN 55402-0903

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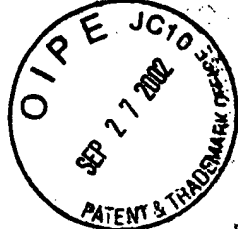
UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,565	05/10/2001	Edward Sean Hoskins	STL9650/40046.114USU1

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



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CONFIRMATION NO. 6329

FORMALITIES LETTER



\*OC000000006276173\*

Date Mailed: 07/10/2001

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) 1 of the specification (description and claims).

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I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition for **\$130.00** petition fee (37 CFR 1.17(h)) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

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II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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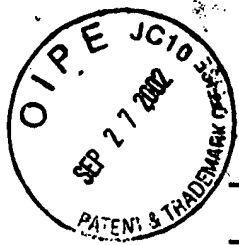
*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "M. S. K.", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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EXPRESS MAIL LOG ENTRY

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Applicant: Edward S. Hoskins  
Title: SEAMLESS DEFECT MANAGEMENT CONVERSION  
Serial No: 09/853,565  
Filed: May 10, 2001  
Docket: STL9650/40046.114USU1  
Due Date:

Express Mail No.: EL719664385US  
Date of Deposit: September 4, 2001

EL719664385US

John R. Wahl Attorney Name

Mary C. Notter Secretary Name

Gregg I. Anderson Section Chair Name

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,565	09/04/2001	2652	710	STL9650/40046.114USU1	2	20	3

JW  
Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

CONFIRMATION NO. 6329

UPDATED FILING RECEIPT

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Date Mailed: 10/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Edward Sean Hoskins, Longmont, CO;

## Assignment For Published Patent Application

Seagate Technology, LLC;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/202,889 05/10/2000

## Foreign Applications

If Required, Foreign Filing License Granted 07/09/2001

Projected Publication Date: 01/24/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Seamless defect management conversion

## Preliminary Class

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EXHIBIT

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